ROTHERHAM BOROUGH COUNCIL – REPORT TO AUDIT COMMITTEE

1.	Meeting:	Audit Committee
2.	Date:	**17 September 2014**
3.	Title:	**KPMG Comparison of Corporate Risks**
4.	Directorate:	**Environment & Development Services**

5 **Summary:**

This report refers to a comparison of risk registers completed in the Summer by KPMG.

6 **Recommendations**

The Audit Committee is asked to:

- **note the analysis produced by KPMG,**

- **note the comments made on risks included elsewhere but not in Rotherham's risk register, and**

- **support the actions proposed to update various assessments, taking into account recent changes.**

7   Details and Proposals

The document attached at **Appendix 1** shows a summary produced by KPMG of an analysis of risk registers across various local authorities. It shows items included in local authorities' registers by both (a) all local authorities and (b) single tier authorities including Rotherham Council.

The report was produced by KPMG prior to the publication of the independent report into child exploitation and the subsequent inclusion of this issue in Rotherham Council's risk register.

There are a small number of items in other local authorities' registers that do not appear in the Council's register. Comments on these are as follows:

Risk	Comments / Proposals
Business continuity / Disaster recovery / emergency planning	Corporate arrangements are regarded as well-established, efficient and effective, not leaving this area with a mitigated risk ranking that would require its inclusion on the corporate risk register. However, there have been a large number of changes across the Council, and ensuring arrangements remain fit for purpose is an EDS Directorate priority for 2014/15. Any actions resulting from this work will inform the risk assessment and whether corporate level status is required.
Partnership arrangements / governance	Again, previous work has demonstrated satisfactory arrangements, but with many changes occurring, it is proposed to refresh our analysis. This is not regarded as a corporate level risk, although this assessment will be updated when a refresh is completed.
Data loss / Information security / Information Governance	The Information Governance Team within Legal Services ensures the Council complies with requirements. The Information Governance Officer provides a regular update to the ICT Strategy and Governance Board. Performance is generally good and this is not regarded as a corporate risk.
Staff morale	Staff surveys have shown morale has been maintained. Directorates have responsibility for taking any actions to address less positive areas in the most recent survey(s). Workforce development is also a key priority for directorates. This is not regarded as a corporate risk, after taking into account mitigating actions.

Risk	Comments / Proposals
Health and safety Compliance	The Council has a positive track regard on Health & Safety management and investigates any serious incidents fully and immediately, to the satisfaction of the HSE. There have been no recent on site investigations by the HSE. Health & Safety Risks are managed by services with the support of the corporate service situated in EDS Directorate.
Delivering major projects	Risks associated with major projects are assessed as part of the management and implementation of the projects. Any projects considered significant from a corporate perspective are included in the corporate register (eg Broadband Delivery UK, Welfare Reforms).
Delivering organisational change	Links to the delivery of major projects, any change requirements associated with major projects are incorporated into the projects.

It is proposed that reports will be presented to the Audit Committee on the outcomes of refreshes referred to in the table above, as appropriate.

8. Finance

Corporate risks require ongoing management action. In some cases additional resources may be necessary to implement the relevant actions or mitigate risks. Any additional costs associated with the risks should be reported to the SLT and Members for consideration on a case by case basis.

9. Risks and Uncertainties

It is important to review corporate risks on an ongoing basis, to ensure risks relating to the Council's key projects and priorities are effectively monitored and managed by the Strategic Leadership Team and Members.

10. Policy and Performance Agenda Implications

Risk Management is part of good corporate governance and is wholly related to the achievement of the objectives in the Council's Corporate Plan.

11. Background Papers and Consultation

This report provides a comparison using the Council's corporate risk register dated June 2014. A further update to the risk register is being reported to Members during September.

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Appendices
Appendix A KPMG Comparison of Risks